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## **Is elevated red blood cell distribution associated with mortality in super-elderly patients with community-acquired pneumonia?**

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### **Abstract**

**Introduction:** The purpose of this study was to determine the association between red blood cell distribution width (RDW) and mortality in super-elderly subjects ( $\geq 90$  years) diagnosed with community-acquired pneumonia (CAP).

**Methods:** One hundred twenty subjects in the super-elderly age group diagnosed with CAP were followed up for at least one year after first diagnosis time. It was investigated whether high RDW level and advanced age were associated with mortality.

**Results:** Eighty-three subjects (69%) died, and 37 (31%) survived. Basal RDW level was significantly correlated with mortality ( $p < 0.001$ ). A cut-off value  $> 13.05\%$  for RDW predicted mortality with specificity of 64.86% and sensitivity of 84.33%, with a negative predictive value (NPV) of 64.86% and a positive predictive value (PPV) of 84.33%. A cut-off value  $> 92.5$  for age predicted mortality with specificity of 72.97% and sensitivity of 54.21%, with NPV of 41.53% and PPV of 81.8%. Cox regression analysis revealed that a RDW cut-off  $> 13.05\%$ , age cut-off  $> 92.5$  and presence of Alzheimer's disease increased mortality independently 2.6-fold ( $p = 0.002$ ), 1.5-fold ( $p = 0.040$ ) and 2.4-fold ( $p = 0.003$ ), respectively.

**Conclusions:** This is the first study to examine the relationship between RDW, age and mortality specifically in a super-elderly subject aged over 90 with a diagnosis of CAP. RDW level, advanced age and presence of Alzheimer's disease are correlated with mortality in super-elderly subjects ( $\geq 90$  years) with CAP.

**Keywords:** Super-elderly, Red cell distribution width, Mortality, Risk factors, Community-acquired pneumonia, Survive.

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### **Introduction**

Community-acquired pneumonia (CAP) is a significant cause of mortality and morbidity, particularly in the elderly. Pneumonia in elderly subjects prolongs hospitalization and increases complications [1]. Annual mortality levels as high as 40% are seen in subjects with CAP [1]. Morbidity and mortality levels decrease with vaccination and appropriate antibiotic use in several diseases secondary to infection, but the desired decrease is not observed in pneumonia. Pneumonia is the sixth most common cause of death worldwide, the most common cause of infection-related deaths and the second main cause of hospitalization [2-4].

Red blood cell distribution width (RDW) is a routinely used hematological marker analyzed with complete blood count and showing the volume of erythrocytes in the circulation [5]. RDW is routinely employed in the diagnosis of thalassemia and iron deficiency [6]. Numerous studies have reported that RDW levels are correlated with poor prognosis in congestive

heart failure (CHF), coronary heart disease (CHD) [7,8], rheumatoid arthritis [9], acute stroke [10], chronic renal failure (CRF) [11], pulmonary embolism [12] and septic shock [13]. While the cause of RDW elevation is uncertain, recent studies have shown that inflammatory processes raise RDW by affecting erythropoiesis and that a high RDW level is associated with poor prognosis in CAP [14,15]. RDW is also known to rise together with advanced age and disease burden [16].

The association between RDW and mortality in the super-elderly age group ( $\geq 90$  years) with a diagnosis of CAP is still unclear. We hypothesized that high RDW and advanced age are associated with mortality in super-elderly subjects with CAP.

### **Materials and Methods**

Following receipt of local ethical committee approval, this study was performed as a retrospective investigation of 120 subjects hospitalized with a diagnosis of CAP between 1

January, 2014, and 1 January, 2016 and was followed up for at least one year after first diagnosis time, at the Recep Tayyip Erdoğan University Faculty of Medicine Chest Diseases Department, Turkey. Subjects' demographic characteristics, basal complete blood count and RDW values at time of diagnosis, accompanying comorbid diseases, laboratory findings, and pneumonia symptoms and findings were collected retrospectively from medical records and recorded. Survival data for subjects dying in hospital were obtained from the hospital archive, and survival data for other subjects were obtained by telephone from the subjects themselves or their families. Diagnosis of CAP was based on Infectious Diseases Society of America and American Thoracic Society guidelines [17]. Following subject archive records examination, at least one clinical finding (dark, yellow phlegm, cough, body temperature >37.8°C) or at least two minor criteria in addition to pulmonary infiltrations at x-ray (tachypnea, dyspnea, impaired orientation, pleural pain, pulmonary consolidation or a leukocyte number >12,000 cells/microL) were adopted as diagnostic for CAP.

**Exclusion criteria:** Subjects with a history of pneumonia in the previous 30 days, with active pulmonary tuberculosis and known HIV positivity and chronic immunosuppressive subjects (subjects immunosuppressive due to solid organ transplantation, post-splenectomy subjects, subjects receiving 10 mg prednisolone or equivalent medications daily for longer than 30 days, subjects being treated with other immunosuppressive drugs and subjects with severe neutropenia) were excluded from the study.

**Laboratory analysis**

Complete blood count (CBC) was performed using an automatic haematology analyzer (Cell-Dyn Ruby 100 test/h, 2012, Abbott). RDW analysis was assessed as a component of CBC. The normal reference interval for RDW in our hospital laboratory is 11.6-14.8%.

**Statistical analysis**

Subjects were divided into two groups depending on RDW (cut-off:13.05) and age (cut-off:92.5) values at time of diagnosis. Constant and category basic characteristics were compared between the groups using the independent-samples t-test and  $\chi^2$  test. Survival analyses between the groups were performed using Kaplan-Meier survival analysis. Prognostic variables for mortality were analyzed using the Cox proportional hazards model. A P-value of <0.05 was considered significant. The results of the univariate and multivariate Cox regression analyses are presented as hazard ratios (HRs) with 95% confidence intervals (CIs). ROC curve analysis was performed for RDW and age.

**Results**

One hundred twenty subjects diagnosed with CAP were included in the study, 85 (70.8%) women and 35 (29.2%) men, with a mean age of 93.6 ± 3.9. At 24-month retrospective

investigation, 83 (69%) subjects had died and 37 (31%) were still living. Mean duration of hospitalization was 8.6 ± 6.2 days. Subjects' demographic and basal laboratory data based on their RDW and age cut-off values are shown in (Tables 1 and 2).

**Table 1.** Basal and laboratory characteristics according to cut-off level of RDW.

	RDW<13.05 n=37	RDW>13.05 n=83	P† value
Female sex,%	n=28 (75)	n=57 (68)	
Age, years	92.6 ± 3.2	94.1 ± 4.0	0.041
Survival/days	437.9 ± 264.4	279.7 ± 285.7	0.004
Hospitalization time/days	7.7 ± 5.2	9.0 ± 6.4	0.222
Luekocyte number, ×10 <sup>3</sup>	11.5 ± 4.9	13.7 ± 10.6	0.116
Lymphocyte, ×10 <sup>3</sup>	2.6 ± 6.7	7.7 ± 8.0	0.092
Neutrophil, ×10 <sup>3</sup>	9.3 ± 4.9	9.7 ± 5.7	0.708
Monocyte, ×10 <sup>3</sup>	0.7 ± 0.3	0.8 ± 0.3	0.434
Eosinophil, ×10 <sup>3</sup>	0.2 ± 0.8	0.2 ± 0.1	0.858
Basophil, ×10 <sup>3</sup>	2.3 ± 0.9	0.1 ± 0.9	0.047
MCV, fL	86.3 ± 4.7	87.0 ± 8.7	0.576
MCH, pg	28.7 ± 1.7	28.6 ± 2.5	0.74
Platelet, K/uL	258.00 ± 119.7	241.34 ± 112.7	0.473
MPV, fL	7.6 ± 2.4	14.2 ± 4.5	0.194
Hemoglobin, g/dL	11.9 ± 1.7	11.6 ± 2.0	0.488
Hematocrit, %	35.8 ± 5.1	35.8 ± 6.1	0.977

Values are given with mean and standard deviation

P†: P value for RDW subgroups

RDW: Red Cell Distribution Width; MCV: Mean Corpuscular Volume; MPV: Mean Platelet Volume; MCH: Mean Corpuscular Hemoglobin; fL: Femtoliter; pg: pikogram; dL:desiliter

Comorbid diseases accompanying pneumonia were hypertension in 43 cases (35.5%), CHF in 27 (22.5%), cerebrovascular disease in 22 (18.3%), Alzheimer's disease in 21 (17.5%), type II diabetes mellitus in 14 (11.6%), CHD in 14 (11.6%), and CRF in 31 (25.8%) (Table 3). Mean total RDW level was 15.8 ± 3.4%. RDW level in the non-surviving subjects was 15.96 ± 3.6%, compared to 13.12 ± 2.0% in the surviving subjects (p<0.001).

**Table 2.** Basal and laboratory characteristics according to cut-off level of age.

	age<92.5 n=65	age>92.5 n=55	P‡ value
Female sex,%	n=44 (67)	n=41 (74)	
Age,years	90.9 ± 0.7	96.8 ± 3.7	<0.001
Survival/days	373.8 ± 294.6	275.09 ± 272.4	0.059

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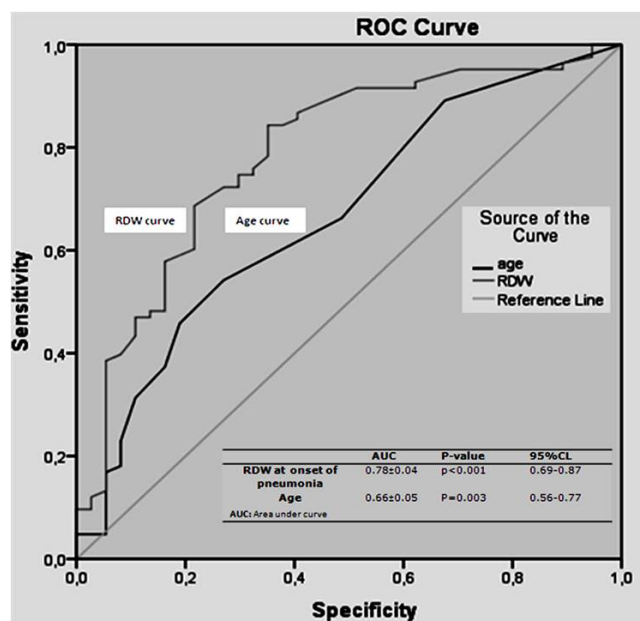
Hospitalization time/days	8.4 ± 6.4	8.8 ± 5.8	0.725
Luekocyte number, ×10 <sup>3</sup>	13.3 ± 9.4	12.8 ± 9.1	0.76
Lymphocyte, ×10 <sup>3</sup>	4.9 ± 6.6	5.2 ± 6.8	0.853
Neutrophil, ×10 <sup>3</sup>	9.9 ± 5.8	9.2 ± 5.1	0.5
Monocyte, ×10 <sup>3</sup>	0.7 ± 0.4	0.7 ± 0.5	0.927
Eosinophil, ×10 <sup>3</sup>	0.4 ± 0.1	0.8 ± 1.5	0.119
Basophil, ×10 <sup>3</sup>	1.3 ± 7.2	2.2 ± 1.1	0.927
MCV, fL	86.0 ± 9.1	87.8 ± 5.4	0.175
MCH, pg	28.6 ± 2.5	28.6 ± 2.0	0.675
Platelet, K/uL	252.25 ± 122.5	239.65 ± 102.4	0.543
MPV, fL	12.6 ± 4.1	11.7 ± 3.4	0.903
Hemoglobin, g/dL	11.6 ± 1.9	11.9 ± 1.9	0.478
Hematocrit, %	35.6 ± 5.7	36.1 ± 5.8	0.634

Values are given with mean and standard deviation

P‡: P value for age subgroups

RDW: Red Cell Distribution Width; MCV: Mean Corpuscular Volume; MPV: Mean Platelet Volume; MCH: Mean Corpuscular Hemoglobin; fL: Femtoliter; pg: pikogram; dL:desilite

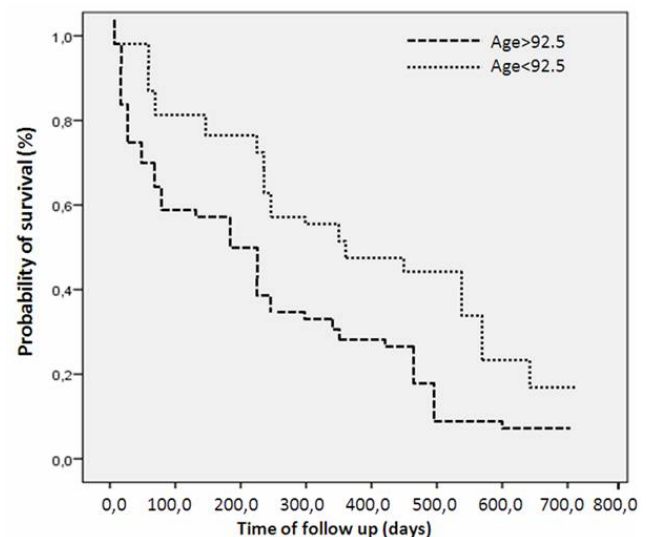
Basal RDW levels at time of hospitalization were significantly correlated with some blood parameters such as; platelet number, MCV, MCH, eosinophil numbers, neutrophil-eosinophil ratio and eosinophil-basophil ratio (p=0028, p<0.001, p=0.007, p=0.001, p=0.001 and p<0.001, respectively).



**Figure 1.** Roc curve for RDW and age.

Comparison of the surviving and non-surviving subjects in terms of accompanying comorbid factors revealed that Alzheimer’s disease affected mortality (p=0.02). No correlation was observed between other comorbid factors and mortality. At ROC analysis, basal RDW levels and the age

factor were observed to affect mortality (Figure 1). Survival curves of both cut-off points of RDW and age were statistically different (p<0.001) (Figures 2 and 3). Area under the curve (AUC) for RDW was calculated at 0.78 (95%CI: 0.698-0.878) (p<0.001), and AUC for age at 0.66 (95%CI: 0.565-0.773) (p=0.003). A cut-off value for RDW of 13.05% exhibited 64.86% specificity for mortality and 84.33% sensitivity, with a negative predictive value (NPV) of 64.86% and a positive predictive value (PPV) of 84.33%. A cut-off value for age of 92.5 exhibited 72.97% specificity and 54.21% sensitivity for mortality, with NPV of 41.53% and PPV of 81.81%. Cox regression analysis was performed to determine the extent to which RDW cut-off>13.05%, age cut-off>92.5 and presence of Alzheimer’s disease independently affected mortality. RDW>13.05% increased mortality 2.6-fold (p=0.002) (95%CI: 1.435-4.814), advanced age >92.5 increased mortality 1.5-fold (p=0.040) (95% CI: 1.022-2.452) and presence of Alzheimer’s disease increased mortality 2.4-fold (p=0.003) (95%CI: 1.336-4.326) (Table 4).



**Figure 2.** Kaplan-Meier curves for cut-off age.

**Table 3.** Additional diseases accompanying pneumonia.

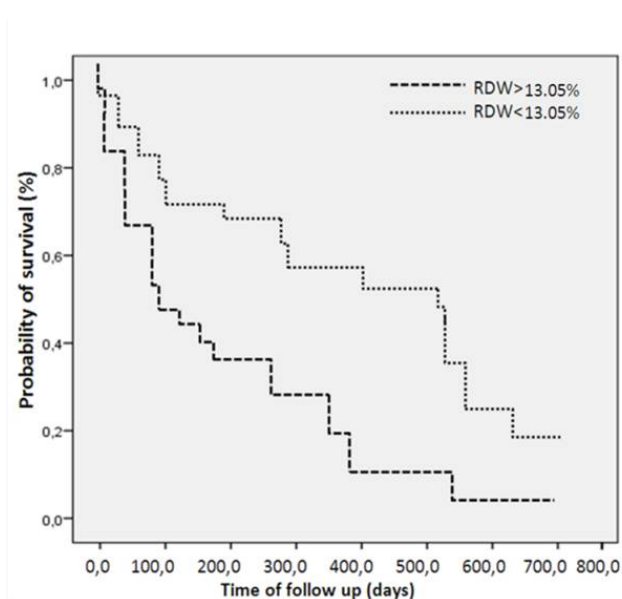
	N	%
Arterial hypertension	43	35.5
Chronic renal failure	31	25.8
Congestive heart failure	27	22.5
Cerebrovascular disease	22	18.3
Alzheimer's Disease	21	17.5
Malignancy	15	12.5
Coronary disease	heart 14	11.6
Tip II diabetes mellitus	14	11.6
Chronic liver disease	12	10

Prostate diseases	7	5.8	Anemia	5	4.1
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**Table 4.** Cox regression results modeling mortality by Alzheimer's disease, RDW and age cut-off values.

	P value	OR	95% CI	
			Lower	Upper
Age>92.5 cut-off	0,040	1.58	1,022	2,452
RDW>13.05 cut-off	0,002	2.62	1,435	4,814
Alzheimer's disease	0,003	2.4	1,336	4,326

OR: Odds ratio



**Figure 3.** Kaplan-Meier Curves for cut-off RDW level.

## Discussion

The risk of pneumonia is greater in subjects of advanced age compared to other age groups. CAP can lead to severe mortality and morbidity in the geriatric age group. This study involved super-elderly (age  $\geq 90$ -108) subjects hospitalized in the chest diseases clinic with a diagnosis of pneumonia. To the best of our knowledge, this is the first study to investigate basal RDW, age and mortality in subjects aged over 90, with a mean age of  $93.6 \pm 3.9$  and diagnosed with CAP. The most significant study finding is that  $\text{RDW} > 13.05\%$  and  $\text{age} > 92.5$  were significantly correlated with poor prognosis in super-elderly subjects with CAP.

Various mechanisms have been proposed to explain the association between RDW and mortality, although the biological mechanisms between high RDW levels and mortality are still unclear. The relation between RDW levels and CAP is also unclear. Lippi et al. suggested that chronic subclinical inflammation might be involved in the relation between RDW and mortality [18], while Weiss et al. reported that inflammation may increase RDW by compromising erythrocyte half-life, erythropoiesis and the red cell membrane

[19]. Some studies have also interpreted blood RDW elevation as a result of exposure to oxidative stress. Inflammation and oxidative stress affect erythrocyte haemostasis. Although erythrocytes possess an antioxidant capacity, oxidative stress causes erythrocyte destruction and reduced life span. Eshler et al. reported that high RDW may be associated with increased oxidative stress in subjects with Down syndrome, respiratory insufficiency or receiving dialysis [20]. Patel et al. showed that decreased serum antioxidant levels were associated with RDW elevation [16]. Katsoulis et al. showed significantly lower total antioxidant status in subjects with CAP compared to a control group [21].

Braun et al. reported that high  $\text{RDW} > 14.5\%$  was associated with higher mortality and severe morbidity, independently of blood WBC and hemoglobin levels at time of presentation to hospital, in 637 subjects with CAP and a mean age of 46 [22]. Braun et al. reported that  $\text{RDW} > 15\%$  was correlated with mortality in subject with CAP and a mean age of 69.9 [23]. Lee et al. showed that high  $\text{RDW} > 15.2\%$  was associated with high mortality in 744 subjects with CAP and a mean age of 70.1 [14]. Patel et al. reported that high  $\text{RDW} > 14.05\%$  was associated with high mortality in 8175 subjects with an age ranging between 52 and 66 and dying from various causes [16]. In a meta-analysis of almost 4000 subjects dying of various causes and with a mean age ranging between 73.6 and 79.1, Patel et al. reported that highest mortality was observed in the group with  $\text{RDW} > 16.0\%$  [24]. Velilla et al. reported that high mortality was associated with advanced age in 125 geriatric subjects with a mean age of 85, and that the risk of mortality increased as RDW levels rose [25].

Other studies have reported correlations between RDW levels considered independently of other risk factors and anaemia [26], CHF [27,28], CRF [29], CHD [30], and metabolic syndrome [31]. Analysis of the entire subject group in our study revealed that RDW levels were correlated with anaemia ( $p=0.094$ ) but not correlated with the other co-morbid diseases.

We determined a significantly higher mortality in subjects with Alzheimer's disease and higher RDW level. This finding is compatible with Öztürk et al.'s study [32]. Cox regression analysis was performed in order to determine how RDW level, age, accompanying comorbid diseases and other subject-related factors affected mortality independently of one another.

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High RDW > 13.05% was observed to increase mortality 2.6-fold ( $p=0.002$ ), advanced age > 92.5 increased mortality 1.6-fold ( $p=0.04$ ) and Alzheimer's disease increased mortality 2.5-fold ( $p=0.003$ ). A direct correlation between high RDW levels and advanced age and mortality was compatible with the results of other studies [14,23,24]. The difference between our research and previous studies is that all our subjects were of advanced age ( $\geq 90$  years), with a mean age of  $93.6 \pm 3.9$ . Mean ages in previous studies were lower. To the best of our knowledge, this is the first study performed in a chest diseases clinic and specific to the super-elderly age group. Another difference is that the RDW cut-off value we calculated was also lower than those of other studies, and mortality increases significantly above that value. We therefore think that advanced age (>92.5) and high RDW (>13.05%) may be of clinical and subclinical importance independently of other factors in subjects with CAP, that they can be a predictor of mortality in the super-elderly age group and that these findings can make a significant contribution to the literature.

There are a number of limitations to this study. One is that it was performed in a single center. The fact that the study group was selected from the chest diseases department alone resulted in a relatively low subject number. The results are not therefore binding on subjects hospitalized in other departments with CAP. Another limitation of this study is that nutritional status, such as iron, Vitamin B12 and folate deficiencies, which might lead to RDW elevation, could not be documented. Nonetheless, the presence of anemia did not emerge as a factor affecting mortality at multivariate analysis.

In conclusion, this study shows that basal RDW levels are correlated with mortality in super-elderly subjects with CAP, and that RDW > 13.05% and age > 92 significantly increase mortality. In addition, this is the first study to examine the relationship between RDW, age and mortality specifically in a super-elderly subject group aged over 90 hospitalized in the chest diseases department with a diagnosis of CAP.

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